

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Luc DESNOYERS, et al.

Application Serial No. 09/997,641

Filed: November 15, 2001

For: **PRO1312 POLYPEPTIDES**

) Examiner: Blanchard, David J.
)
) Art Unit: 1643
)
) Confirmation No: 7553
)
) Attorney's Docket No. 39780-2730 P1C39
)
) **Customer No. 35489**

**REQUEST PURSUANT TO 37 C.F.R. § 1.48(a), CORRECTION OF
INVENTORSHIP IN A PATENT APPLICATION, OTHER THAN A REISSUE
APPLICATION**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

This Request seeks to add Ellen Filvaroff as a joint inventor in the above-identified application. Ms. Filvaroff was inadvertently and without deceptive intent not included as a joint inventor in the above-identified application. Enclosed are the following documents in support of this Request:

- (a) a statement signed by Ms. Filvaroff indicating that the error in inventorship in the above-referenced application occurred without deceptive intent on her part;
- (b) a declaration by the actual inventors under 37 C.F.R. § 1.63;
- (c) the fee set forth in 37 C.F.R. § 1.17(i) (\$130.00); and
- (d) a written consent of Genentech, Inc., assignee of the entire title, right and interest in the above-captioned application.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 08-1641 (Attorney's Docket No. 39780-2730 P1C39). Please direct any calls in connection with this application to the undersigned at the number provided below.

10/20/2006 AWONDAF1 00000081 081641 09997641

01 FC:1464 130.00 DA

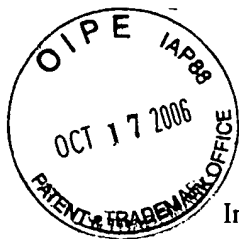
Amendment Under 37 C.F.R. §1.48(a)
Application Serial No. 09/997,641
Attorney's Docket No. 39780-2730 P1C39

Respectfully submitted,

Date: 10/17/06

Daphne Reddy
Daphne Reddy
(Reg. No. 53,507)

HELLER EHRMAN LLP
275 Middlefield Road
Menlo Park, California 94025-3506
Telephone: (650) 324-7000
Facsimile: (650) 324-0638



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	Examiner: Blanchard, David J.
Luc DESNOYERS, et al.)	
Application Serial No. 09/997,641)	Art Unit: 1643
Filed: November 15, 2001)	Confirmation No: 7553
For: PRO1312 POLYPEPTIDES)	Attorney's Docket No. 39780-2730 P1C39
)	Customer No. 35489

CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir,

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). The assignment is recorded at Reel 012176 and Frame 0450. This assignment represents the entire chain of title of this invention from the Inventor(s) to the Assignee.

The undersigned hereby states that Assignee agrees to the correction of the inventorship of the above-identified patent application to include Ellen Filvaroff as a joint inventor.

Respectfully submitted,

Date: _____

By: Mark T. Kresnak *EMB*
Mark T. Kresnak
Associate General Counsel Patent Law
Genentech, Inc.
1 DNA Way
South San Francisco, California 94080



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

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) Customer No. 35489

STATEMENT OF OMITTED INVENTOR UNDER 37 C.F.R. § 1.48(a)(2)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

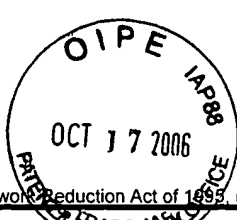
I, Ellen Filvaroff, hereby state that I was omitted as an inventor in the above application. I further state that this omission is due to an error which occurred without any deceptive intention on my part.

Respectfully submitted,

Date: 9/18/06

By: _____

Ellen Filvaroff



PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2006

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**130.00****Complete if Known**

Application Number	09/997,641
Filing Date	NOVEMBER 15, 2001
First Named Inventor	LUC DESNOYERS
Examiner Name	BLANCHARD, DAVID J.
Art Unit	1643
Attorney Docket No.	39780-2730 P1C39

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number	08-1641
Deposit Account Name	HELLER EHRMAN, LLP (39780-2730 P1C39)

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 300	2001 150	Utility filing fee	
1002 200	2002 100	Design filing fee	
1003 200	2003 100	Plant filing fee	
1004 300	2004 150	Reissue filing fee	
1005 200	2005 100	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 50	2202 25	Claims in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 360	2203 180	Multiple dependent claim, if not paid
1204 200	2204 100	** Reissue independent claims over original patent
1205 50	2205 25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 120	2251 60	Extension for reply within first month	
1252 450	2252 225	Extension for reply within second month	
1253 1,020	2253 510	Extension for reply within third month	
1254 1,590	2254 795	Extension for reply within fourth month	
1255 2,160	2255 1,080	Extension for reply within fifth month	
1401 500	2401 250	Notice of Appeal	
1402 500	2402 250	Filing a brief in support of an appeal	
1403 1,000	2403 500	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 500	2452 250	Petition to revive - unavoidable	
1453 1,500	2453 750	Petition to revive - unintentional	
1501 1,400	2501 700	Utility issue fee (or reissue)	
1502 800	2502 400	Design issue fee	
1503 1,100	2503 550	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	130.00
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**130.00****SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	DAPHNE REDDY	Registration No. (Attorney/Agent)	53,507	Telephone	(650) 324-7000
Signature	Daphne Reddy	Date	OCTOBER 17, 2006		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.